# Eigen Representations: <br> Detecting faces in images 

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## Administrivia

- Project teams?
- Project proposals?
- TAs have updated timings and locations (on webpage)


## Last Lecture: Representing Audio



- Basic DFT
- Computing a Spectrogram
- Computing additional features from a spectrogram

What about images?


Npixels / 64 columns


- DCT of small segments
- $8 \times 8$
- Each image becomes a matrix of DCT vectors
- DCT of the image
- Haar transform (checkerboard)
- Or data-driven representations..


## Returning to Eigen Computation



- A collection of faces
- All normalized to 100x100 pixels
- What is common among all of them?
- Do we have a common descriptor?


# A least squares typical face 



The typical face


- Can we do better than a blank screen to find the most common portion of faces?
- The first checkerboard; the zeroth frequency component..
- Assumption: There is a "typical" face that captures most of what is common to all faces
- Every face can be represented by a scaled version of a typical face
- What is this face?
- Approximate every face $f$ as $f=w_{f} V$
- Estimate V to minimize the squared error
- How?
- What is $V$ ?


## A collection of least squares typical faces



- Assumption: There are a set of K "typical" faces that captures most of all faces
- Approximate every face f as $\mathrm{f}=\mathrm{w}_{\mathrm{f}, 1} \mathrm{~V}_{1}+\mathrm{w}_{\mathrm{f}, 2} \mathrm{~V}_{2}+\mathrm{w}_{\mathrm{f}, 3} \mathrm{~V}_{3}+. .+\mathrm{w}_{\mathrm{f}, \mathrm{k}} \mathrm{V}_{\mathrm{k}}$
- $\mathrm{V}_{2}$ is used to "correct" errors resulting from using only $\mathrm{V}_{1}$
- So the total energy in $\mathrm{w}_{\mathrm{f}, 2}\left(\Sigma \mathrm{w}_{\mathrm{f}, 2}{ }^{2}\right)$ must be lesser than the total energy in $\mathrm{w}_{\mathrm{f}, 1}\left(\Sigma \mathrm{w}_{\mathrm{f}, 1}{ }^{2}\right)$
- $V_{3}$ corrects errors remaining after correction with $V_{2}$
- The total energy in $\mathrm{w}_{\mathrm{f}, 3}$ must be lesser than that even in $\mathrm{w}_{\mathrm{f}, 2}$
- And so on..
- $\quad V=\left[V_{1} V_{2} V_{3}\right]$
- Estimate V to minimize the squared error
- How?
- What is $V$ ?


## A recollection



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How about the other way?
$M=$



- $\mathrm{W}=\mathrm{M}$ * $\operatorname{Pinv}(\mathrm{V})$

How about the other way?


- W V lapprox = M


## Eigen Faces!


$\square$


- Here $\mathrm{W}, \mathrm{V}$ and U are ALL unknown and must be determined
- Such that the squared error between $U$ and $M$ is minimum
- Eigen analysis allows you to find W and V such that $\mathrm{U}=\mathrm{WV}$ has the least squared error with respect to the original data $M$
- If the original data are a collection of faces, the columns of W represent the space of eigen faces.

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## Eigen faces

$10000 \times 300$


- Lay all faces side by side in vector form to form a matrix
- In my example: 300 faces. So the matrix is $10000 \times 300$
- Multiply the matrix by its transpose
- The correlation matrix is $10000 \times 10000$


## Eigen faces

[U,S] = eig(correlation)

$$
S=\left[\begin{array}{ccccc}
\lambda_{1} & \cdot & 0 & . & 0 \\
0 & \lambda_{2} & 0 & . & 0 \\
\cdot & \cdot & \cdot & \cdot & \cdot \\
. & \cdot & \cdot & \cdot & \cdot \\
0 & \cdot & 0 & . & \lambda_{10000}
\end{array}\right]
$$



- Compute the eigen vectors
- Only 300 of the 10000 eigen values are non-zero
- Why?
- Retain eigen vectors with high eigen values (>0)
- Could use a higher threshold


## Eigen Faces



- The eigen vector with the highest eigen value is the first typical face
- The vector with the second highest eigen value is the second typical face.
- Etc.


## Representing a face



- The weights with which the eigen faces must be combined to compose the face are used to represent the face!


## Principal Component Analysis



- Eigen analysis: Computing the "Principal" directions of a data
- What do they mean
- Why do we care


## Principal Components $==$ Eigen Vectors



- Principal Component Analysis is the same as Eigen analysis
- The "Principal Components" are the Eigen Vectors


## Principal Component Analysis



## Principal Components



- The first principal component is the first Eigen ("typical") vector
- $X=\alpha_{1}(X) E_{1}$
- The first Eigen face
- For non-zero-mean data sets, the average of the data
- The second principal component is the second "typical" (or correction) vector
- $X=\alpha_{1}(X) E_{1}+\alpha_{2}(X) E_{2}$


## SVD instead of Eigen

| $10000 \times 300$ |
| :---: |
| $M$ = Data Matrix |$=U=10000 \times 300$




- Do we need to compute a $10000 \times 10000$ correlation matrix and then perform Eigen analysis?
- Will take a very long time on your laptop
- SVD
- Only need to perform "Thin" SVD. Very fast
- $U=10000 \times 300$
- The columns of $U$ are the eigen faces!
- The Us corresponding to the "zero" eigen values are not computed
- $S=300 \times 300$
- $V=300 \times 300$


## NORMALIZING OUT VARIATIONS

## Images: Accounting for variations



- What are the obvious differences in the above images
- How can we capture these differences
- Hint - image histograms..


## Images -- Variations



- Pixel histograms: what are the differences


## Normalizing Image Characteristics

- Normalize the pictures
- Eliminate lighting/contrast variations
- All pictures must have "similar" lighting
- How?
- Lighting and contrast are represented in the image histograms:


## Histogram Equalization

- Normalize histograms of images
- Maximize the contrast
- Contrast is defined as the "flatness" of the histogram
- For maximal contrast, every greyscale must happen as frequently as every other greyscale

- Maximizing the contrast: Flattening the histogram
- Doing it for every image ensures that every image has the same constrast
- I.e. exactly the same histogram of pixel values
- Which should be flat


## Histogram Equalization



- Modify pixel values such that histogram becomes "flat".
- For each pixel
- New pixel value = f(old pixel value)
- What is $f()$ ?
- Easy way to compute this function: map cumulative counts


## Cumulative Count Function




- The histogram (count) of a pixel value $X$ is the number of pixels in the image that have value $X$
- E.g. in the above image, the count of pixel value 180 is about 110
- The cumulative count at pixel value $X$ is the total number of pixels that have values in the range $0<=$ $x<=X$
- $\operatorname{CCF}(X)=H(1)+H(2)+. . H(X)$


## Cumulative Count Function



- The cumulative count function of a uniform histogram is a line

- We must modify the pixel values of the image so that its cumulative count is a line


## Mapping CCFs



Move $x$ axis levels around until the plot to the left looks like the plot to the right

- CCF $(f(x))$-> $a * f(x)$ [of $a^{*}(f(x)+1)$ if pixels can take value 0]
- $x=$ pixel value
- $f()$ is the function that converts the old pixel value to a new (normalized) pixel value
- a = (total no. of pixels in image) / (total no. of pixel levels)
- The no. of pixel levels is 256 in our examples
- Total no. of pixels is 10000 in a $100 \times 100$ image


## Mapping CCFs



- For each pixel value $x$ :
- Find the location on the red line that has the closet $Y$ value to the observed CCF at $x$


## Mapping CCFs



- For each pixel value $x$ :
- Find the location on the red line that has the closet $Y$ value to the observed CCF at x


## Mapping CCFs



Move $x$ axis levels around until the plot to the left looks like the plot to the right

- For each pixel in the image to the left
- The pixel has a value $x$
- Find the CCF at that pixel value $\operatorname{CCF}(x)$
- Find $x^{\prime}$ such that $\operatorname{CCF}\left(x^{\prime}\right)$ in the function to the right equals $\operatorname{CCF}(x)$
- $x^{\prime}$ such that CCF_flat( $x^{\prime}$ ) $=\operatorname{CCF}(x)$
- Modify the pixel value to $x^{\prime}$


## Doing it Formulaically



$$
f(x)=\text { round }\left(\frac{C C F(x)-C C F_{\min }}{\text { Npixels }-C C F_{\min }} \text { Max.pixel.value }\right)
$$

- CCF $_{\text {min }}$ is the smallest non-zero value of $\operatorname{CCF}(x)$
- The value of the CCF at the smallest observed pixel value
- Npixels is the total no. of pixels in the image
- 10000 for a 100x100 image
- Max.pixel.value is the highest pixel value
- 255 for 8-bit pixel representations

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## Or even simpler

- Matlab:
- Newimage $=$ histeq(oldimage)


## Histogram Equalization



- Left column: Original image
- Right column: Equalized image
- All images now have similar contrast levels


## Eigenfaces after Equalization



- Left panel : Without HEQ
- Right panel: With HEQ
- Eigen faces are more face like..
- Need not always be the case


## Detecting Faces in Images

## Detecting Faces in Images



- Finding face like patterns
- How do we find if a picture has faces in it
- Where are the faces?
- A simple solution:
- Define a "typical face"
- Find the "typical face" in the image

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## Finding faces in an image



- Picture is larger than the "typical face"
- E.g. typical face is $100 \times 100$, picture is $600 \times 800$
- First convert to greyscale
- $R+G+B$
- Not very useful to work in color


## Finding faces in an image



- Goal .. To find out if and where images that look like the "typical" face occur in the picture


## Finding faces in an image



Try to "match" the typical face to each location in the picture

## Finding faces in an image



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## Finding faces in an image



- Try to "match" the typical face to each location in the picture
- The "typical face" will explain some spots on the image much better than others
- These are the spots at which we probably have a face!


## How to "match"



- What exactly is the "match"
- What is the match "score"
- The DOT Product
- Express the typical face as a vector
- Express the region of the image being evaluated as a vector
- But first histogram equalize the region
- Just the section being evaluated, without considering the rest of the image
- Compute the dot product of the typical face vector and the "region" vector

- The right panel shows the dot product a various loctions
- Redder is higher
- The locations of peaks indicate locations of faces!

- The right panel shows the dot product a various loctions
- Redder is higher
- The locations of peaks indicate locations of faces!
- Correctly detects all three faces
- Likes George's face most
- He looks most like the typical face
- Also finds a face where there is none!
- A false alarm


## Scaling and Rotation Problems

- Scaling
- Not all faces are the same size
- Some people have bigger faces
- The size of the face on the image changes with perspective
- Our "typical face" only represents one of these sizes
- Rotation
- The head need not always be upright!
- Our typical face image was upright



## Solution



- Create many "typical faces"
- One for each scaling factor
- One for each rotation
- How will we do this?
- Match them all
- Does this work
- Kind of .. Not well enough at all
- We need more sophisticated models



## Face Detection: A Quick Historical Perspective



Figure 1: The basic algorithm used for face detection.

- Many more complex methods
- Use edge detectors and search for face like patterns
- Find "feature" detectors (noses, ears..) and employ them in complex neural networks..
- The Viola Jones method
- Boosted cascaded classifiers
- But first, what is boosting


## And even before that - what is classification?

- Given "features" describing an entity, determine the category it belongs to
- Walks on two legs, has no hair. Is this
- A Chimpanizee
- A Human
- Has long hair, is $5^{\prime} 4^{\prime \prime}$ tall, is this
- A man
- A woman
- Matches "eye" pattern with score 0.5, "mouth pattern" with score 0.25 , "nose" pattern with score 0.1. Are we looking at
- A face
- Not a face?


## Classification

- Multi-class classification
- Many possible categories
- E.g. Sounds "AH, IY, UW, EY.."
- E.g. Images "Tree, dog, house, person.."
- Binary classification
- Only two categories
- Man vs. Woman
- Face vs. not a face..
- Face detection: Recast as binary face classification
- For each little square of the image, determine if the square represents a face or not


## Face Detection as Classification



For each square, run a classifier to find out if it is a face or not

- Faces can be many sizes
- They can happen anywhere in the image
- For each face size
- For each location
- Classify a rectangular region of the face size, at that location, as a face or not a face
- This is a series of binary classification problems

